

ADDITIONAL APPLICATION REQUIREMENTS

ANTI-MONEY LAUNDERING

In December 2006, the Australian Government introduced the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Legislation). This requires significant changes to the information we are required to collect from investors. The AML/CTF Legislation requires us to:

- Collect appropriate “know your customer” (KYC) information from new applicants; and
- Verify an applicant's identity from identification documentation (such as a certified copy of a drivers licence).

New investors must complete the accompanying Investor Identification Form providing such information and, where applicable, identification documentation. This is in addition to completing the application form in the Product Disclosure Statement. We may also need to obtain further information from you in order to comply with our customer identification and verification obligations under the AML/CTF Legislation.

Identification documentation must be certified. Please refer to the next page for a list of acceptable certifiers.

We may not be able to accept your application if the information and supporting documents, or any additional information requested, have not been provided or if we are unable to successfully complete all investor identification procedures (that we consider) necessary to satisfy our obligations under the AML/CTF Legislation.

In collecting your personal information for the purposes of the AML/CTF Legislation, we are subject to the Privacy Act 1988 including the National Privacy Principles. A copy of our Privacy Policy may be obtained from our website at www.primevalue.com.au.

The AML/CTF Legislation applies to all new investors from 31 January 2008. You must provide the additional information and supporting documentation if:

- You **do not** have an existing investment in the Prime Value Growth Fund or the Prime Value Imputation Fund; or
- You do have an existing investment, but require an additional investment
 - in a **different name** to the existing investment (for example a joint investment with someone else); or
 - in a **different capacity** to the existing investment (for example as trustee for a trust)

If you are investing via a financial adviser, you should check with your adviser if an Investor Identification Form is required from you, as we may have made arrangements with them to collect and provide investor identification information.

Please complete the relevant sections according to your investor type outlined in the below table:

Investor Type	Section 1	Section 2	Section 3
Individual	●		
Joint	●		
Australian Company		●	
Superannuation Fund (corporate trustee)		●	●
Superannuation Fund (individual trustee)			●
Trust (corporate trustee)		●	●
Trust (individual trustee)	●		●
All other types	Please contact us on +61 (0)3 9620 7762 or info@primevalue.com.au		

CERTIFYING DOCUMENTS

Copies of identification documents must be certified using the following (or similar) wording:

'I certify that this document comprising < insert number of pages > pages is a true copy of the original document'

Below is a list of people that can certify documents. The person certifying the document must sign and date the document and print their name and occupation or qualification that makes them eligible to sign.

- (1) Officer with 2 or more continuous years of service with one or more financial institutions (**your local bank branch is likely to have someone who meets these requirements**);
- (2) Permanent employee of the Australian Postal Corporation (**Post Office employee**) with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- (3) Police officer;
- (4) Justice of the Peace;
- (5) Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership;
- (6) Officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees;
- (7) Finance company officer with 2 or more continuous years of service with one or more finance companies;
- (8) Legal practitioner enrolled on a Supreme Court of a State or Territory, or the High Court of Australia;
- (9) Judge of a court;
- (10) Magistrate;
- (11) Chief executive officer of a Commonwealth court;
- (12) Registrar or deputy registrar of a court;
- (13) Notary public;
- (14) Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- (15) Australian consular officer or an Australian diplomatic officer;

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator. The accredited translator must sign and date the document and print their name and professional institution.

INVESTOR IDENTIFICATION FORM

The following information and identification documents are required under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. **THIS FORM CAN BE COMPLETED ELECTRONICALLY, THEN PRINTED. ALTERNATIVELY PLEASE COMPLETE USING BLACK OR BLUE INK.**

1. INDIVIDUAL / JOINT / INDIVIDUAL TRUSTEE

Please provide a certified copy of one of the following documents for each individual:

- Australian Drivers Licence
- Passport

If you are unable to provide a certified copy of one of these documents, please contact us on +61 (0)3 9620 7762 or info@primevalue.com.au for alternative documents that may be provided.

2. AUSTRALIAN COMPANY (including corporate trustee)

Company Name
(as registered with ASIC)

ACN

Registered Address (not a PO Box)

Address

Suburb/City State Postcode

Principal Place of Business in Australia

Same as registered address; or

Address

Suburb/City State Postcode

Director Details

Director 1

Given Name(s)
Surname

Director 2

Given Name(s)
Surname

(If there are more than two directors, please provide full names on a separate page and attach to this form)

Shareholder Details

Please provide the full name of each person who owns, through one or more shareholdings, more than 25% of the company's issued capital.

Shareholder 1

Given Name(s)
Surname

Shareholder 2

Given Name(s)
Surname

(If there are more than two people, please provide full names on a separate page and attach to this form)

3. TRUST / SUPERANNUATION FUND

Trust/Fund Name

ABN

Country of
Establishment

Trustee Details

Business Name
(if any)

Tick the type of trustee

Individual Trustee – Provide the name, date of birth and address of the trustee below.
For trusts#, also complete section 1 of this form

Company Trustee – Complete section 2 of this form

Individual Trustee

Given Name(s)

Surname

Date of Birth

Residential Address

(If there is more than one trustee, please provide these details for other trustees on a separate page and attach to this form)

Beneficiary Details (for trusts#)

Please provide the full name of each beneficiary of the trust

If the terms of the trust identify the beneficiaries by reference to a membership of a class (eg family members of a named person), instead of listing all the beneficiaries, you may provide details of the membership class.

Beneficiary 1

Beneficiary 2

Beneficiary 3

Beneficiary 4

(If there are more than four beneficiaries, please provide full names on a separate page and attach to this form)

Identification Documentation

Please provide the following for trusts#:

Certified copy of the trust deed (including all amending deeds)

CLEAR FORM

PRINT FORM